WARSAW UNIVERSITY OF TECHNOLOGY DEVELOPMENT PROGRAMME



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Cryptography using Chaos





J M Blackledge

Stokes Professor Dublin Institute of Technology http://eleceng.dit.ie/blackledge

Distinguished Professor Warsaw University of Technology











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What is the Problem ?













The Concept



Chaos Theory

Mathematical study of Nonlinear Dynamical systems

Cryptology

Mathematical study of cryptography & cryptanalysis

Chaos-based Cryptology





Applications





Stream coding



Spread Spectrum













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DLexiconData

http://www.lexicon-data.com



Principal Publication



Multi-algorithmic Cryptography using **Deterministic Chaos with Applications** to Mobile Communications, J M Blackledge, International Society for Advanced Science & Technology, Transactions on Electronics and Signal Processing, No. 1, Vol. 2,23 - 64, 2008; http://eleceng.dit.ie/papers/107.pdf



Contents of Presentation I



Part I:

- Basic Concepts in Cryptography
- Substitution Ciphers
- Principal Conditions
- Example Algorithms
- Diffusion and Confusion
- Kerchhoff-Shannon Principle
- Summary
- Interval (10 Minutes)





Contents of Presentation II



Part II:

- Multi-algorithmicity
- Designing Chaotic Algorithms
- Software Development
- Applications
- Crypstic
- Demonstration
- Research Project Proposal
- Questions











A cryptosystem is a computer program transforming information in a key-dependent and apparently unpredictable manner





- Box strength
- Combination #
- : strength of **Encryptor** *E*/*D*
- : strength of Key K (length of #)



Symmetric Encryption



 A & B agree on combination # a priori



- A & B undertake the same lock/unlock process a symmetric process
- Vulnerable to attack if interceptor obtains combination # when A & B agree upon it
- Problem: How should A & B exchange the key?



Multiple Encryption



$$P = D_{K_1}(D_{K_2}(D_{K_2}(C)))$$

- Based on application of the same encryption/decryption algorithm *E/D*
- Used to increase effective key length, e.g.
 Digital Encryption Standard 3 (DES3)

 $C = E_{K_1}(D_{K_2}(E_{K_1}(P)))$





Asymmetric Encryption



- A sends B an open lock with combination known only to A.
- B secures box with lock & sends box (with message) back to A – an *asymmetric* process
- A is vulnerable to receiving disinformation if open lock is intercepted
- Problem: How can A authenticate the message from B?





Three-Way-Pass Protocol

Alice A

- A locks box with combination # known only to A and sends it to B
- B locks box with another lock and a combination # known only to B and sends it back to A
- A (partially) unlocks box and sends it back to B
- B (completely) unlocks box to recover message

Protocol is vulnerable to 3-pass interception





Public/Private Key Encryption



- A locks box with a public combination # unique to B
 a public key
- Some 'property' of this public key is known only to B
- This 'property' (the *private key*) allows B to unlock the box
- Vulnerability of method depends on the 'property' which depends on the design details of the lock







- Cryptographic systems should be designed with respect to three components:
 - cyphertext generation
 - key exchange
 - authenticity
- Each component tends to rely on separate and distinct methods of approach



In his book The Life of Julius Caesar, Seutonius states that:

If he had anything confidential to say, he wrote it in cipher, that is, by so changing the order of the letters of the alphabet that not a word could be made out. If anyone wishes to decipher these, and get at their meaning, he must substitute the fourth letter of the alphabet, namely D, for A, and so with the others.

ABCDEFGHIJKLMNOPQRSTUVWXYZ K: DEFGHIJKLMNOPQRSTUVWXYZABC

P: HAIL CAESAR DICTATOR FOR LIFEC: KDLO FDHVDU GLFWDWRU IRU OLIH



Computing the Caesar Cipher using Modular Arithmetic



- Let A=0, B=1, C=2, ..., Z=25 and K be the shifting key
- Let P_i denote the *Plaintext string*
- Caesar ciphertext is given by $C_i = (P_i + K)MOD(26)$
- Decryption is based on $P_i = (C_i K) MOD(26)$
- MOD? If $P_i + K$ or $P_i K$ are not in the range 0-25, Then subtract or add 26, respectively



The Vigenere Cipher



- The Caesar cipher is a *monoalphabetic* substitution cipher
- The key is easily recovered through statistical analysis, i.e. searching for the most frequently occurring letter in the cipher
- Vigenere encryption is based on an obvious extension to produce a *polyalphabetic* substitution cipher, i.e.

$$C_i = (P_i + K_i) \text{MOD}(26)$$

$$P_i = (C_i - K_i) \mathrm{MOD}(26)$$



Vernam Cipher (1919)



- Substitution cipher based on generating an array of random integers to form a vector n
- Cipher is given by (vector addition)

$$\mathbf{c} = \mathbf{p} + \mathbf{n}$$

• Number code used for p (and c) must be standardised, e.g. 7-bit ASCII code so that

$$c_i = (p_i + n_i) \mathrm{MOD}(127)$$





	DEC	BIN	CHAR	DEC	BIN	CHAR	DEC	BIN	CHAR	Plaintext:	
	0	0000000	null	43	0101011	+	86	1010110	V		
	1	0000001	suh	44	0101100	,	87	1010111	W	Attack at 03:008	am.
	2	0000010	stx	45	0101101	-	88	1011000	X		
	3	0000011	etx	40	0101110		89	1011001	Y Z		
	4 E	0000100	eot	47	0101111	/	90	1011010	L L	DEC ASCII code	Cipher
	о 6	0000101	enq	48	0110000	1	91	10111011	۱ ۶-	25	
	7	0000110	ack bol	49	0110001	0	92	1011100	1	65	5
	8	0001000	be	51	0110010	2	93	1011110	1	116	0
	a	0001000	ht	52	0110100	4	94	1011111	~	110	0
	12	0001010	lf	53	0110101	5	96	1100000		116	66
	11	0001011	vt	54	0110110	6	97	1100001	a		
	12	0001100	ff	55	0110111	7	98	1100010	ь	97	1
	13	0001101	cr	56	0111000	8	99	1100011	с	00	0
	14	0001110	so	57	0111001	9	100	1100100	d	99	0
	15	0001111	si	58	0111010	:	101	1100101	е	107	6
	16	0010000	dle	59	0111011	;	102	1100110	f		
	17	0010001	dc1	60	0111100	<	103	1100111	g	32	13
	18	0010010	dc2	61	0111101	=	104	1101000	h	07	07
	19	0010011	dc3	62	0111110	>	105	1101001	i	91	21
	20	0010100	dc4	63	0111111	?	106	1101010	j	116	67
	21	0010101	\mathbf{nak}	64	1000000	@	107	1101011	k		
	22	0010110	syn	65	1000001	A	108	1101100	I	32	31
	23	0010111	etb	66	1000010	В	109	1101101	m	10	0
	24	0011000	can	67	1000011	C	110	1101110	n	40	9
	25	0011001	em	60	1000100	D F	111	1110000	0	51	16
	20	0011010	sub	70	1000101	E E	112	1110000	Р	01	10
	27	0011011	esc fe	70	1000110	г С	113	1110001	q r	58	17
	20	0011100	15	79	1001000	н	115	1110010	2	10	10
	30	0011110	rs	73	1001001	T	116	1110100	t	40	19
	31	0011111	us	74	1001010	J	117	1110101	11	48	10
	32	0100000	sp	75	1001011	K	118	1110110	v	10	10
	33	0100001	!	76	1001100	L	119	1110111	w	97	18
	34	0100010	"	77	1001101	Μ	120	1111000	x	100	0
	35	0100011	#	78	1001110	Ν	121	1111001	у	109	2
	36	0100100	\$	79	1001111	0	122	1111010	z	46	9
	37	0100101	%	80	1010000	Р	123	1111011	{	10	0
	38	0100110	&z	81	1010001	Q	124	1111100			
	39	0100111	,	82	1010010	R	125	1111101	}	Cinh ant ant :	
	40	0101000	(83	1010011	S	126	1111110	\sim	Cipnertext:	
	41	0101001)	84	1010100	Т	127	1111111	del	Ft7bka-1829CKC·	307
1	42	0101010	*	85	1010101	U				reibrd lorgonou	





MOD(127)

Addition







Plaintext character substituted for randomly selected character generated by a cipher n

$\mathbf{c} = \mathbf{n} {+} \mathbf{p}$ Decimal space

 Usually implemented using bit-wise operators, operating on binary strings, e.g.

$\mathbf{c} = \mathbf{n} \oplus \mathbf{p} \quad \text{Binary space}$

 $\mathbf{p} = \mathbf{n} \oplus \mathbf{c} = \mathbf{n} \oplus \mathbf{n} \oplus \mathbf{p}$



8-bit XOR based Encryption

Plaintext: Attack at 03:00am.

8-bit ASCII code	8-bit cipher	XOR
01000001	00000101	01000100
01110100	00000000	01110100
01110100	01000010	00110110
01100001	0000001	01100000
01100011	00001000	01101011
01101011	00000110	01101101
00100000	00001101	00101101
01100001	00011011	01111010
01110100	01000011	00110111
00100000	00011111	00011111
00110000	00001001	00111001
00110011	00010000	00100011
00111010	00010001	00101011
00110000	00001001	00111001
00110000	00001010	00111010
01100001	00010010	01110011
01101101	1000000	01101111
00101110	00001001	00100111

Ciphertext: Dt6'km-z7&9#+9:so'







$\begin{array}{l|l} \mbox{Principal Conditions for} \\ \mbox{c} = n{+}p & \mid \ \mbox{c} = n \oplus p \end{array}$



- n the cipher is generated by some physical effect or computed using a numerical algorithm that can be seeded by a key K
- The algorithm should produce random numbers with no statistical bias – maximum confusion
- n should be ultra-sensitive to K:
 a change of 1 bit in K should potentially effect all the bits of n – maximum diffusion
- n must have a *long cycle length*



Examples of Cipher Generation I

- SIGSALLY (Green Hornet): AT & T (1942-46)
- Noise generated using a vacuum tube and stored on a phonograph record
- Record used to mask 1-to-1 voice signals
- Distribution of noise sources strictly controlled
- Records were in effect one-time-pads











http://www.fourmilab.ch/hotbits/

 Atmospheric radio noise http://www.random.org/



 Quantum Mechanical noise using a reverse biased semiconductor junction <u>http://www.araneus.fi/</u>





Iterative Cryptosystems



- Most cryptographic systems are based on a series of so-called round transformations, which are relatively simple and produce Pseudo Random Number Streams
 Pseudo Random Number Generators (PRNG)
- A PRNG is a function or an algorithm that produces a sequence of numbers from a relatively short seed (initial conditions: password, plaintext) based on some iteration function





The mod Function



- Modular based functions tend to behave more erratically than conventional functions
- *a*mod(*b*) gives the remainder of a/b, e.g.
 23mod(7) = 2, 6mod(8) = 6

amod(b)=a-bfloor(a/b)

x	1	2	3	4	5	6	7	8
2^x	2	4	8	16	32	64	128	256
$2^x \mod 13$	2	4	8	3	6	12	11	9







• Blum Blum Shub generator $n_{i+1} = n_i^2 \mod(pq)$

where p and q are two prime numbers

- Blum Mercali generator $n_{i+1} = p^{n_i} \mod(q)$ where q is a prime and p is an odd prime
- RSA (Rivest, Shamir and Adleman) generator $n_{i+1} = n_i^e \operatorname{mod}(pq)$

where e is a relative prime of p-1 and q-1





- Encryption process changes the statistics of cipher
- Statistics of the ciphertext become non-uniform
- Solution is to *pad the plaintext* (with '?' = 63 for 7-bit ASCII)



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Diffusion + Confusion

















Kerchhoff-Shannon Principle

• Kerchhoff's Principle:

'A cryptosystem should be secure even if everything about the system, except the key, is public knowledge'

• Shannon's Principle: 'The enemy knows the system', i.e.

THE ALGORITHM



















- Security is a process not a product
- Never underestimate the enemy
- The longer that any cryptosystem, or part thereof, remains of the same type with the same function, the more vulnerable the system becomes to a successful attack inclusive of <u>THE ALGORITHM</u>
- If you want to know what you are eating then grow it and cook it yourself


The RSA Algorithm



The Rivest, Shamir & Adleman algorithm is as follows:

- Prime numbers p & q are chosen together with e < pq
- A obtains public key for B given by (e, pq) and sends

$$C_i = P_i^e \mod(pq), \quad i = 1, 2, ..., N$$

• B has a private key *d* such that *ed*-1 is divisible by (p-1)(q-1), i.e. *d* is the solution of

$$de = \operatorname{mod}[(p-1)(q-1)]$$

• B recovers message using

$$P_i = C_i^d \operatorname{mod}(pq)$$



Important Points



$$de = \operatorname{mod}[(p-1)(q-1)]$$

- To compute d, e must be a relative prime of (p-1)(q-1). This means that e & (p-1)(q-1) have no common factors except 1
- The prime numbers *p* & *q* and the number *e* < *pq* must be distributed to Alice and Bob in such a way that they are unique to Alice and Bob on the condition that *d* exists!
- This requires an appropriate infrastructure to be established by a trusted third party who's 'business' is to distribute values of *e*, *pq* & *d* to its clients – a Public Key Infrastructure (PKI)



Internet Communications







Vulnerability to an Attack



- *e* and *pq* are known and *p* and *q* must be prime numbers - elements of a large but (assumed) known set.
- To attack the cipher, d must be found and it is known that d is the solution of

$$de = \operatorname{mod}[(p-1)(q-1)]$$

which is only solvable if e < pq is a relative prime of (p-1)(q-1).

 An attack is based on searching through prime numbers whose magnitudes are consistent with the product pq until the relative prime condition is established for factors p and q.



Public Key Infrastructure (PKI)



• A PKI is required in order to distribute public keys, i.e., different but appropriate values of *e* and *pq*, for use in public key cryptography (RSA algorithm)

 Requires the establishment of appropriate authorities and directory services for the generation, management and certification of public keys

 Vulnerable to authorities (operating in UK) having to conform to the Regulation of Investigatory Powers Act (UK) 2000, Section 49







- Encryption systems belong to two basic classes:
 - symmetric
 - asymmetric
- Encryption algorithm should provide a cipher with the following basic properties:
 - Maximum entropy of cipher
 - Maximum diffusion of key
 - Long cycle length of cipher
- Encryption algorithm is taken to be public knowledge
 The Kerchhoff-Shannon Principle, e.g. RSA Algorithm







- We shall investigate the properties of chaotic signals
- Consider a multi-algorithmic approach for designing *encryption engines*
- Provide an overview of Crypstic
- Provide a demonstration of the product





Questions + Interval (10 Minutes)



Part II: Contents



- Chaos and Cryptography
- Iteration Functions Systems
- Chaos and Pseudo-Chaos
- The Lyapunov Exponent
- Designing Chaos-based Encryption Algorithms
- Multi-algoritjmicity
- Crypstic
- Demonstration of *Crypstic*
- Q & A



Cryptography using Chaos



Founders of Modern Cryptography





Claude Shannon

Vladimir Kotelnikov

Algorithm(s) for n

 $\mathbf{c}=\mathbf{n}{+}\mathbf{p}$

Founders of Chaos Theory





Mitchell Feigenbaum Benoit Mandelbrot

Iteration Function Systems (IFS)



Brief History of Chaos-based Cryptography



Claude Shannon 1916 - 2001



- Early 1950s: Shannon explicitly mentions that the basic stretch-and-fold mechanism of chaos can be used in cryptology.
- Silent period until the late1980s.
 - Chaos theory becomes popular
 - Cryptography becomes more important
- ~ 30 publications in 1990s
 - Various ciphers suggested
 - Focus on analog circuits
- 2000++: Chaos begins to be recognized
 - spread spectrum for military communications
 - launch of Crypstic by Lexicon Data Limited



Chaos and Cryptology: Similarities 1



- Deterministic
 - chaotic map
 - encryption algorithm
- Complex and Unpredictable random-like behavior for any external observer with no *a priori* knowledge of the algorithm and initial condition - key



Chaos and Cryptology Similarities 2



Chaos

Cryptography

sensitivity to initial conditions



key-dependent confusion & diffusion

- Small variations of any variable changes the outputs considerably
- Modification of 1 bit of the plaintext or key should change all bits of the ciphertext with probability 50%.



Chaos and Cryptology Similarities 3



Chaos

Cryptography

topological transitivity with iterative process



multi-round transformations

- Bounded state space, self-mapping, extension of a state point over the whole state space
- Iterative transformations with a single chaotic map







 Chaotic systems are defined on real/complex numbers spaces (bounded continuous space) whereas cryptography uses binary sequences (*finite discrete space*).

 Chaos theory aims to understand the asymptotic behavior of iterative process whereas cryptography focuses on the properties of a number of first few iterations



Chaos Theory .v. Cryptography



Chaos Theory	Cryptography
Chaotic system	Pseudo-chaotic system
Nonlinear transform	Nonlinear transform
Infinite number of states	Finite states
Infinite number of iterations	Finite iterations
Initial state	Plaintext
Final state	Ciphertext
Initial condition(s)	key
and/or parameter(s)	
Asymptotic independence of	Confusion
initial and final states	
Sensitivity to initial	Diffusion
condition(s) and	
parameter(s) mixing	



Simple Example of an IFS: The Vurhulst Process



• Linear exponential model $x(t) = x_0 \exp(kt)$

$$\frac{dx}{dt} = kx$$

Nonlinear model

$$\frac{dx}{dt} = kx(1-x)$$

$$\frac{x_{i+1} - x_i}{\Delta t} = kx_i(1 - x_i)$$

$$x_{i+1} = x_i + k\Delta t x_i (1 - x_i)$$

$$x_{i+1} = rx_i(1 - x_i)$$





Example Iteration Function System (IFS) $x_{i+1} = rx_i(1 - x_i)$







Self-Affine Characteristics













• Sensitivity to the initial conditions

It is impossible to predict the behaviour of the system even if we have partial knowledge of its organization.

• Topological transitivity

The state point stays within a bounded state space and approaches infinitely closely to any point of the state space.



A Deterministic Chaotic System

- Deterministic system is defined by a IFS f(x)
- Input is initial condition x_0 and parameter r
- Output is a sequence of states: x_1 , x_2 , x_3 , ... where $x_{i+1} = f(x_i, r)$







Matthews Cipher

$$x_{i+1} = (1+r)\left(1+\frac{1}{r}\right)^r x_i(1-x_i)^r, \quad r \in (0,4]$$

1								
0.9-								
0.8-								
0.7-								
0.6-								
0.5-								
0.4-								
0.3-								
0.2-								
0.1-								
o 4								
Ó	0.5	i	1.5	2	2.5	3	3.5	4









- True Chaos has an <u>infinite</u> number of states
- Pseudo-Chaos has a <u>finite</u> number of states
 - Involves approximation of continuous chaos with floating- or fixed-point arithmetic
 - Leads to discrete chaos-like system with low cycle lengths







Floating-point Approximation







Example Cycle Length Distribution (Vurhulst Process)





Chaos .v. Pseudo Chaos



Finite orbits (Pseudo-Chaos)

Infinite orbit (Chaos)







Cryptographically Good Orbits





Single orbit

Multiple orbits of the same length











Consider the iterative process

$$x_{n+1} = f(x_n) = x + \epsilon_n$$

and a model for the error at each iteration given by

$$\epsilon_{n+1} = c \exp(n\lambda)$$

• Then

$$\epsilon_{n+1} = \epsilon_n \exp(\lambda)$$





Rearranging and summing over *N* iterations:

$$\sum_{n=1}^{N} \ln\left(\frac{\epsilon_{n+1}}{\epsilon_n}\right) = N\lambda$$

Thus

$$\lambda = \lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} \ln\left(\frac{\epsilon_{n+1}}{\epsilon_n}\right)$$









$$\lambda(x_0) = \lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^N \ln |f'(x_n)|$$

- Measures the sensitivity of an iterated function to the initial condition (key)
- Require the exponent to be:
 - >0 (chaotic behaviour)
 - approach 1 (extent of chaoticity)





Maximum Entropy Ciphers



- PDFs of chaotic iterators are not uniform
- Bit stream cipher generated using a uniform PDF partitioning strategy to maximize entropy of cipher
- Encryption based on XOR operation





'n.

Example of a Chaotic Cipher with Poor Statistical Characteristics





$$x_{i+1} = r | 1 - \tan(\sin x_i) |, r = 1.5$$



Basic Design Steps



Step 1: 'Invent' a (non-linear) function f and apply the IFS $x_{i+1} = f(x_i, p_1, p_2, ...)$ such that $\mathbf{x}_{\infty} = 1$.

Step 2: Graph the output x_i and adjust parameters $p_1, p_2, ...$ until the output 'looks' chaotic.

Step 3: Compute the Lyaponuv Exponent and check that it is at least > 0.7.

Step 4: Check to see if cycle length of output is at least $> 10^6$.

Step 5: Graph the histogram of the output and observe if there is a significant region of the histogram over which it is 'relatively flat'.

Step 6: Set the values of the thresholds T and Δ_{\pm} based on 'observations' made in Step 5.



Chaos-based .v. Conventional Encryption Algorithms





Chaos-Based Cryptography	Conventional Cryptography
Floating point arithmetic	Integer arithmetic
Computationally slow	Computationally fast
Based on any nonlinear function	Usually based on the mod function
Does not require prime numbers	Usually based on prime numbers
Low cycle lengths	High cycle lengths
Statistical bias	No statistical bias
Data redundant	Data compatible

Chaos-based cryptography has many disadvantages accept with regard to one important issue: can invent

an unlimited number of algorithms





Multi-algorithmicity: Meta-Encryption Engines




Chaotic Function Selection over Chaotic Block Lengths





• The last floating point number of a current block cipher is used to seed the next block cipher

 $function[x(i)] = \begin{cases} function_{R_1}[x(i)], & i \in [1, L_1] \\ function_{R_2}[x(i)], & i \in [L_1 + 1, L_2] \\ \vdots & \vdots \\ function_{R_N}[x(i)], & i \in [L_{N-1} + 1, L_N] \end{cases}$



Example Algorithms and Parameter Settings







Encryption and Data Protection using Chaos

- Crypstic provides a unique encryption engine (unique set of algorithms) mounted on a single pair of portable USB flash memory units
- Includes 'Honey pot' disinformation, e.g. other encryption applications
- New meta-encryption engine provided if Crypstic is compromised

Enigma



Scherbius



Shannon



Crypstic[™]





Covert Access Through Obfuscation





- Execution is based on renaming a known *dll* to a known *exe* file through deletion
- Requires that application is software engineered to be *Forensically Inert*



Records Every Exact Detail of their PC and Internet Activity.

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http://eleceng.dit.ie/arg/downloads/Crypstic.zip

- Multi-Algorithmic Block Encryption Engine
 - Unique set of algorithms for each encryption engine
 - Algorithm selection & initiation seeded by file properties
 - Passes all statistical test recommended by NIST, USA

- Implementation
 - Flash memory
 - Forensically inert
 - Key-logging evasion





Applications to Cloud Computing





From http://blogs.zdnet.com/Hinchcliffe



Advantages .v. Disadvantages



- Sovereignty is a potential major problem for the Cloud
- Need to treat the Cloud as a hostile territory
- User-based security is the most likely solution











- Cloud computing only represents 4% of current IT spend and is expected to more than double by 2012
- Software as a Service (SaaS) by itself is projected to nearly double from \$9B to \$17B (less than 10% of total market)
- User-security underpins acceptance of cloud architecture
- Each user has own encryption engine enabling both protection and control – PC + Crypstic



Summary



- Chaos-based encryption has many disadvantages compared with conventional encryption algorithms:
 - computationally inefficient
 - low cycle lengths
- The principal advantage is that it provides the potential for developing an unlimited number of algorithms that can be used to produce a *multi-algorithmic solution*
- Algorithms can be published so that approach conforms to the *Kerchhoff-Shannon Principle* in the knowledge that a new set of chaos-based algorithms can be developed.



Open Problems



• Structurally stable pseudo-chaotic systems

Require a structurally stable cryptosystem, i.e. a system that has (almost) the same cycle length and Lyapunov exponents for all initial conditions. Most of the known pseudo-chaotic systems **do not possess this property**

Conditions of unpredictability for chaotic systems
 What properties of a chaotic system guarantee its computational unpredictability ?





- Asymmetric cryptographic systems are based on *trapdoor functions*, i.e. functions that have a one-way property unless a secret parameter (trapdoor) is known
- No counterpart of a *trapdoor transformation* is, as yet, known in chaos theory





- Conventional software engineering
 Clarity > Efficiency :: Data > Process
- Forensically inert software engineering for
 Obfuscation > Efficiency :: Camouflage > Process
- What are the paradigms best suited to the development of forensically inert applications?





21/25 Nowowiejska St. Room TC 105 (first floor).





Q&A